

*D<sup>1</sup> correct*  
NO:54), which had previously been shown to cause splenomegaly and hypergammaglobulinemia upon *in vivo* administration in mice, and studied the pattern and kinetics of cytokine production at both the splenic mRNA and serum protein levels. Zhao et al. (1997) Antisense Nucleic Acid Drug Dev 7:495-502. Following i.p. administration of 50 mg/kg of oligonucleotide, significant increases in the splenic mRNA levels of IL-6, IL-12 p40, IL-1 $\beta$ , and IL-1Ra and serum levels of IL-6, IL-12, MIP-1 $\beta$ , and MCP-1 were observed. In contrast, no significant differences in splenic mRNA levels of IL-2, IL-4, IL-5, IL-9, IL-13, IL-15, IFN- $\gamma$ , or MIF or serum levels of IL-2, IL-4, IL-5, IL-10, IFN- $\gamma$ , or GM-CSF were detected. These studies show a distinct pattern and kinetics of cytokine production following oligonucleotide administration and further demonstrate that cytokine induction is not a general property of phosphorothioate oligonucleotides but is dependent on the sequence and dose of the oligonucleotides. Serum release of IL-1, IL-6, IL-12 and TNF- $\alpha$  was also confirmed by Lipford et al. Lipford, GB et al. (1997) Eur J Immunol 27:2340-2344.

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(2) On pages 12-13, replace the paragraph beginning at line 30 on page 12 with the following:

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*D<sup>2</sup>*  
In another embodiment the CpG oligonucleotide has a sequence including at least the following formula:

5' TCNTX<sub>1</sub>X<sub>2</sub>CGX<sub>3</sub>X<sub>4</sub> 3' (SEQ ID NO:89)

wherein X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>, and X<sub>4</sub> are nucleotides, and N is a nucleic acid sequence composed of from 0-25 nucleotides.

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(3) On page 36, replace the paragraph at lines 18-27 with the following:

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In another embodiment the invention provides an isolated CpG oligonucleotide represented by the formula:

*D<sup>3</sup>*  
5' N<sub>1</sub>X<sub>1</sub>X<sub>2</sub>CGX<sub>3</sub>X<sub>4</sub>N<sub>2</sub> 3'

wherein at least one nucleotide separates consecutive CpGs; X<sub>1</sub>X<sub>2</sub> is selected from the group consisting of TpT, CpT, TpC, and ApT; X<sub>3</sub>X<sub>4</sub> is selected from the group consisting of GpT,

*D<sup>3</sup> control*  
GpA, ApA and ApT; N is any nucleotide and N<sub>1</sub> and N<sub>2</sub> are nucleic acid sequences composed of from about 0-25 N's. In a preferred embodiment N<sub>1</sub> and N<sub>2</sub> of the nucleic acid do not contain a CCGG quadmer or more than one CCG or CGG trimer. In another preferred embodiment the CpG oligonucleotide has the sequence 5' TCN<sub>1</sub>TX<sub>1</sub>X<sub>2</sub>CGX<sub>3</sub>X<sub>4</sub> 3' (SEQ ID NO: 89).

(4) On page 39, replace the paragraph beginning at line 7 with the following:

*D<sup>4</sup>*  
The nucleic acid sequences of the invention which are useful for inducing immune remodeling are those broadly described above. Exemplary sequences include but are not limited to those sequences shown in Table 1-7 as well as TCCATGTCGCTCCTGATGCT (SEQ ID NO:35), TCCATGTCGTTCTGATGCT (SEQ ID NO:43), TCGTCGTTGTCGTTGTCGTT (SEQ ID NO:79), TCGTCGTTTTGTCGTTTTGTCGTT (SEQ ID NO:80), TCGTCGTTGTCGTTTTGTCGTT (SEQ ID NO:81), GCGTGCGTTGTCGTTGTCGTT (SEQ ID NO:82), TGTCGTTTGTCGTTTGTCGTT (SEQ ID NO:84), TGTCGTTGTCGTTGTCGTT (SEQ ID NO:86), TCGTCGTCGTCGTT (SEQ ID NO:87), TCCTGTCGTTCTTGTCGTT (SEQ ID NO:68), TCCTGTCGTTTTTTGTCGTT (SEQ ID NO:70), TCGTCGCTGTCTGCCCTTCTT (SEQ ID NO:72), TCGTCGCTGTTGTCGTTTCTT (SEQ ID NO:73), TCCATGACGTTCTGACGTT (SEQ ID NO:71), GTCG(T/C)T and TGTCG(T/C)T.

(5) On Page 51, replace the paragraph beginning at line 16 with the following:

*D<sup>5</sup>*  
**Microbial stimuli and synthetic oligonucleotides.** Phosphorothioate-stabilized oligonucleotides (ODN) were synthesized by TibMolBiol (Berlin, Germany). ODN sequences 'CG1' (= ODN 1668, containing a 'CG-motif' marked with bold letters: 5'-TCC-ATG-**ACG**-TTC-CTG-ATG-CT; SEQ ID NO:24) and control GC-ODN ('inverted CG' = ODN 1720: 5'-TCC-ATG-**AGC**-TTC-CTG-ATG-CT; SEQ ID NO:29) were taken from Krieg, AM et al. (1995) Nature 374:546-549. A second CpG-ODN 'CG2' (= ODN IL12p40: 5'-AGC-TAT-**GAC**-GTT-CCA-AGG; SEQ ID NO:30) and control ODN 'nCG' ('non-CG' = ODN AP1, without CG-motif: 5'-GCT-TGA-TGA-CTC-AGC-CGG-AA; SEQ ID NO:65) were described recently. Lipford, GB et al. (1997) Eur J Immunol 27:2340-2344. LPS from *E. coli* was purchased from Sigma (Munich, Germany). *Listeria monocytogenes* came from ATCC

(American type culture collection strain 43251) and were grown in brain hear infusion (Difco, Detroit, USA) in overnight cultures. Number of bacteria was determined by OD<sub>600</sub> and checked by plating 10 µl aliquots of a serial 10-fold dilution on Columbia blood agar plates and counting the colony forming units after overnight incubation at 37°C.

(6) On page 65, replace Table 1 with the following:

Table 1

| ODN | Sequence (5' → 3')    | SEQ ID NO: |
|-----|-----------------------|------------|
| 1   | GCTAGACGTTAGCGT       | 1          |
| 1a  | .....T.....           | 2          |
| 1b  | .....Z.....           | 3          |
| 1c  | .....Z..              | 4          |
| 1d  | ..AT.....GAGC..       | 5          |
| 2   | ATGGAAGGTCAGCGTTCTC   | 6          |
| 2a  | ..C...CTC..G.....     | 7          |
| 2b  | ..Z...CTC..ZG..Z..... | 8          |
| 2c  | ..Z...CTC..G.....     | 9          |
| 2d  | ..C...CTC..G.....Z..  | 10         |
| 2e  | .....A.....           | 11         |
| 3D  | GAGAACGCTGGACCTTCCAT  | 12         |
| 3Da | .....C.....           | 13         |
| 3Db | .....C.....G..        | 14         |
| 3Dc | ..C·A.....            | 15         |
| 3Dd | .....Z.....           | 16         |
| 3De | .....Z.....           | 17         |
| 3Df | .....A.....           | 18         |
| 3Dg | .....CC·G·ACTG..      | 19         |
| 3M  | TCCATGTCGGTCCTGATGCT  | 20         |
| 3Ma | .....CT.....          | 21         |
| 3Mb | .....Z.....           | 22         |
| 3Mc | .....Z.....           | 23         |
| 3Md | .....A..T.....        | 24         |
| 3Me | .....C·A·             | 25         |

4 TCAACGTT  
4a .....GC..  
4b ....GCGC..  
4c ....TCGA..  
4d ..TT..AA  
4e .....  
4f C.....  
4g --.....CT  
4h .....C

(7) On page 66, replace Table 2 with the following:

Table 2

5a ATGGACTCTCCAGCGTTCTC (SEQ ID NO:26)  
5b .....AGG.....A..... (SEQ ID NO:11)  
5c ..C.....G..... (SEQ ID NO:7)  
5d ....AGG..C..T..... (SEQ ID NO:27)  
5e ..C.....G..Z..... (SEQ ID NO:28)  
5f ..Z.....ZG..Z..... (SEQ ID NO:8)  
5g ..C.....G.....Z..  
GCATGACGTTGAGCT (SEQ ID NO:5)  
GCTAGATGTTAGCGT (SEQ ID NO:2)

(8) On page 67, replace Table 3 with the following:

Table 3

|                      |                               |
|----------------------|-------------------------------|
| 512<br>SEQ ID NO:20  | TCCATGT <u>C</u> GGTCCTGATGCT |
| 1637<br>SEQ ID NO:31 | .....C.....                   |
| 1615<br>SEQ ID NO:32 | .....G.....                   |
| 1614<br>SEQ ID NO:33 | .....A.....                   |
| 1636<br>SEQ ID NO:34 | ..... <u>A</u> .....          |
| 1634<br>SEQ ID NO:35 | ..... <u>C</u> .....          |

D<sup>8</sup> cont'd

|                      |                  |                       |
|----------------------|------------------|-----------------------|
| 1619<br>SEQ ID NO:43 | .....T.....      | TECH CENTER 1600/2800 |
| 1618<br>SEQ ID NO:24 | .....A..T.....   |                       |
| 1639<br>SEQ ID NO:36 | .....AA..T.....  |                       |
| 1707<br>SEQ ID NO:37 | .....A..TC.....  |                       |
| 1708<br>SEQ ID NO:38 | .....CA..TG..... |                       |

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(9) On page 68, replace Table 4 with the following:

Table 4

|                |      |                     |                |
|----------------|------|---------------------|----------------|
| D <sup>9</sup> | 1585 | ggGGTCAACGTTGACgggg | (SEQ ID NO:39) |
|                | 1629 | .....gtc.....       | (SEQ ID NO:40) |
|                | 1613 | GCTAGACGTTAGTGT     | (SEQ ID NO:41) |
|                | 1769 | .....Z.....         | (SEQ ID NO:42) |
|                | 1619 | TCCATGTCGTTCTGATGCT | (SEQ ID NO:43) |
|                | 1765 | .....Z.....         | (SEQ ID NO:44) |

(10) On page 69, replace Table 5 with the following:

Table 5

D<sup>10</sup>

|      |                          |    |
|------|--------------------------|----|
| 1758 | TCTCCCAGCGTGCGCCAT       | 46 |
| 1761 | TACCGCGTGCGACCCTCT       | 47 |
| 1776 | ACCATGGACGAACTGTTTCCCCTC | 48 |
| 1777 | ACCATGGACGAGCTGTTTCCCCTC | 49 |
| 1778 | ACCATGGACGACCTGTTTCCCCTC | 50 |
| 1779 | ACCATGGACGTACTGTTTCCCCTC | 51 |
| 1780 | ACCATGGACGGTCTGTTTCCCCTC | 52 |
| 1781 | ACCATGGACGTTCTGTTTCCCCTC | 53 |
| 1823 | GCATGACGTTGAGCT          | 5  |
| 1824 | CACGTTGAGGGGCAT          | 55 |
| 1825 | CTGCTGAGACTGGAG          | 56 |
| 1828 | TCAGCGTGCGCC             | 57 |
| 1829 | ATGACGTTCTGACGTT         | 58 |

*D<sup>10</sup> control*

|      |                       |    |
|------|-----------------------|----|
| 1830 | RANDOM SEQUENCE       |    |
| 1834 | TCTCCCAGCGGGCGCAT     | 59 |
| 1836 | TCTCCCAGCGCGGCCAT     | 60 |
| 1840 | TCCATGTCGTTCCCTGTCGTT | 61 |
| 1841 | TCCATAGCGTTCCTAGCGTT  | 62 |
| 1842 | TCGTCGCTGTCTCCGCTTCTT | 63 |
| 1851 | TCCTGACGTTCCCTGACGTT  | 64 |

(11) On page 70, replace Table 6 with the following:

**Table 6**

*D<sup>11</sup>*

| <u>ODN</u> | <u>Sequence (5' → 3')</u>     | <u>SEQ ID NO:</u> |
|------------|-------------------------------|-------------------|
| 1840       | TCCATGTCGTTCCCTGTCGTT         | 61                |
| 1960       | TCCTGTCGTTCCCTGTCGTT          | 66                |
| 1961       | TCCATGTCGTTTTTGTGCGTT         | 67                |
| 1962       | TCCTGTCGTTCCCTGTCGTT          | 68                |
| 1963       | TCCTTGTGCTTCCTGTCGTT          | 69                |
| 1965       | TCCTGTCGTTTTTTGTGCGTT         | 70                |
| 1966       | TCGTCGCTGTCTCCGCTTCTT         | 63                |
| 1967       | TCGTCGCTGTCTGCCCTTCTT         | 72                |
| 1968       | TCGTCGCTGTTGTGCTTCTT          | 73                |
| 1979       | TCCATGTZGTTCCCTGTZGTT         | 74                |
| 1982       | TCCAGGACTTCTCTCAGGTT          | 75                |
| 1990       | TCCATGCGTGCGTGCGTTTT          | 76                |
| 1991       | TCCATGCGTTGCGTTGCGTT          | 77                |
| 2002       | TCCACGACGTTTTTCGACGTT         | 78                |
| 2005       | TCGTCGTTGTGCGTTGTGCGTT        | 79                |
| 2006       | TCGTCGTTTTTGTGCGTTTTGTGCGTT   | 80                |
| 2007       | TCGTCGTTGTGCGTTTTGTGCGTT      | 81                |
| 2008       | GCGTGCGTTGTGCGTTGTGCGTT       | 82                |
| 2010       | GCGGCGGGCGGGCGCGCGCCC         | 83                |
| 2012       | TGTGCGTTTGTGCGTTTGTGCGTT      | 84                |
| 2013       | TGTGCGTTGTGCGTTGTGCGTTGTGCGTT | 85                |
| 2014       | TGTGCGTTGTGCGTTGTGCGTT        | 86                |
| 2015       | TCGTCGTCGTCGTT                | 87                |
| 2016       | TGTGCGTTGTGCGTT               | 88                |
| 1841       | TCCATAGCGTTCCTAGCGTT          | 62                |

(12) On page 71, replace Table 7 with the following:

**Table 7**

D<sup>12</sup> cont'd

| <u>ODN</u> | <u>Sequence (5' → 3')</u> | <u>SEQ ID NO:</u> |
|------------|---------------------------|-------------------|
| 1962       | TCCTGTCGTTTCCTTGTCGTT     | 68                |
| 1965       | TCCTGTCGTTTTTTTGTCGTT     | 70                |
| 1967       | TCGTCGCTGTCTGCCCTTCTT     | 72                |
| 1968       | TCGTCGCTGTTGTCGTTTCTT     | 73                |
| 2005       | TCGTCGTTGTCGTTGTCGTT      | 79                |
| 2006       | TCGTCGTTTTTGTCGTTTTGTCGTT | 80                |
| 2014       | TGTCGTTGTCGTTGTCGTT       | 86                |
| 2015       | TCGTCGTCGTCGTT            | 87                |
| 2016       | TGTCGTTGTCGTT             | 88                |
| 1668       | TCCATGACGTTTCCTGATGCT     | 24                |
| 1758       | TCTCCCAGCGTGCGCCAT        | 46                |

(13) On page 56, replace the paragraph beginning at line 4 as follows:

The induction of splenic hematopoiesis was CpG-ODN dose and sequence dependent (Fig. 4, also see Fig. 3D, table 1b and 1c). Sequences lacking the 'CpG-motif' (nCG) failed to induce extramedullary hematopoiesis and CG inversion (GC-ODN) almost completely abolished the hematopoietic effect of the ODN CG1. Single shot injection of CpG ODN also compared well with the documented hematopoietic activity triggered by LPS (Fig. 4). Apte, RN et al. (1976) J Cell Physiol 71-78; Apte, RN et al. (1976) Exp Hematol 4:10-18; Staber, FG et al. (1980) Proc Natl Acad Sci USA 77:4322-4325. In addition to the granulocyte-macrophage progenitors, the number of pure erythroid progenitors post CpG ODN injection was also increased as determined by the number of Burst-forming Units (BFU-E) per spleen (Fig. 5). Analysis of peripheral blood over 12 days revealed no significant changes apart from a transient leukocytosis at day 2-4. Thus the transient splenomegaly observed in ssDNA injected mice was CpG motif dependent and associated with extramedullary hematopoiesis.

(14) On page 57, replace the paragraph beginning at line 14 as follows:

***CpG-ODN mediate radioprotective effects in myelosuppression.*** Hematopoietic progenitor cells are considered as rather radioresistant. Morrison, SJ et al. (1995) Annu Rev Cell Dev Biol 11:35-71. Since CpG-ODN induce extramedullary hematopoiesis via mobilization of

D<sup>14</sup> *cont'd*

CFU-S to the spleen we analyzed whether CpG-ODN could mediate radioprotective effects in sublethally irradiated mice. CpG challenge of sublethally irradiated mice (4 Gy) lead within 14 days to a 4 fold increase of splenic GM-CFU (Fig. 7A). Next, we addressed the question whether CpG-ODN driven hematopoiesis in sublethally irradiated mice allows accelerated recovery of the immune system. Two experimental systems were chosen: one, the induction of CTL responses to proteinaceous antigens (Lipford, GB et al. (1997) Eur J Immunol 27:2340-2344), and two, resistance to the intracellular pathogen *Listeria monocytogenes* (Endres, R et al. (1997) Immunity 7:419-432). Mice were treated with CpG-ODN within 30 minutes after sublethal irradiation (4 Gy), allowed to recover for 18 days and thereafter immunized subcutaneously (s.c.) with ovalbumin (OVA) containing liposomes plus QuilA as adjuvant. After 4 days cells of draining lymph nodes were harvested, cultured for an additional four days and assayed for OVA specific CTL activity. As detailed in Fig. 7B lymphocytes from CpG-ODN treated irradiated mice displayed an enhanced CTL response compared to non-treated irradiated mice. Basically similar results were obtained in an infection model using *L. monocytogenes* infection at day 14. Overall the data given in Fig. 7 demonstrate a correlation between CpG-ODN induced extramedullary hematopoiesis and the ability to mount cytotoxic T cell responses or protective immune responses towards bacterial infections. CpG-ODN compensate radiation induced damage of the lympho-hematopoietic system by accelerating regeneration from hematopoietic progenitor cells.

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#### In the Claims

Please amend claims 11, 40, 49, 62, and 68 by substituting for them the following rewritten claims.

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D<sup>15</sup>

11. (Twice Amended) The method of claim 1, wherein the CpG oligonucleotide has a sequence including at least the following formula:

5' TCNTX<sub>1</sub>X<sub>2</sub>CGX<sub>3</sub>X<sub>4</sub> 3' (SEQ ID NO:89)

wherein X<sub>1</sub>, X<sub>2</sub>, X<sub>3</sub>, and X<sub>4</sub> are nucleotides, N is a nucleic acid sequence composed of from about 0-25 nucleotides.

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